

UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Patent Application

Applicants: Singhal, et al.

Serial No.: 09/697,276

Filed: October 26, 2000

For: Modifications in the Multi-Band
Excitation (MBE) Model for
Generating High Quality Speech
at Low Bit Rates

Docket: 7416/78599 - RPA 1

I hereby certify that this correspondence is being deposited with the United Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on March 2, 2001.


Maitreya P. Jani, Esq.

COMPLETION OF FILING REQUIREMENTS

Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231

Sir:

Transmitted herewith in response to the Notice to File Missing Parts of Nonprovisional

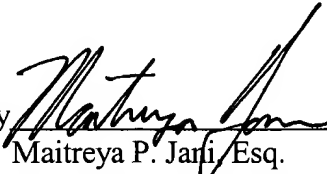
Application -- Filing Date Granted dated January 3, 2001, are:

- (X) Declaration or Oath for this application.
- (X) Filing fee for this application.
- () Assignment(s) of the invention to _____
- () Verified Statement Claiming Small Entity Status—Independent Inventor.
- () Petition for Extension of Time.
- () Other: _____
- (X) A check in the amount of \$2,462.00 to cover the filing fee, and surcharge.
- () A check in the amount of \$ _____ to cover a _____ month extension of time fee.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required to this application under 37 C.F.R. §§1.16-1.17, or credit any overpayment, to Deposit Account No. 23-0920. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated,

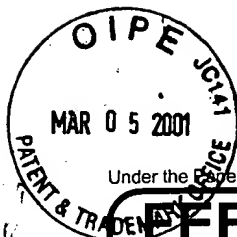
otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 23-0920. A duplicate copy of this sheet is enclosed.

WELSH & KATZ, LTD.
120 South Riverside Plaza
22nd Floor
Chicago, Illinois 60606-3913
Telephone: (312) 655-1500

By

A handwritten signature in dark ink, appearing to read "Maitreya P. Jari", is written over a horizontal line.

Maitreya P. Jari, Esq.
Registration No. 47,219



#3

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FREE TRANSMITTAL for FY 2001		Complete if Known	
		Application Number	09/697,276
Patent fees are subject to annual revision.		Filing Date	October 26, 2000
		First Named Inventor	Singhal, et al.
		Examiner Name	
		Group Art Unit	2641
TOTAL AMOUNT OF PAYMENT	\$2,462.00	Attorney Docket No.	7416/78599 - RPA I

METHOD OF PAYMENT		FEE CALCULATION (continued)	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 23-0920 Deposit Account Name: Welsh & Katz, Ltd. <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR § 1.27		3. ADDITIONAL FEES	
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other			
FEE CALCULATION			
1. BASIC FILING FEE			
Large Entity Fee Code 101 Fee (\$ 710) Small Entity Fee Code 201 Fee (\$ 355) Fee Description Utility filing fee Fee Paid 710.00			
106 320 206 160 Design filing fee			
107 490 207 245 Plant filing fee			
108 710 208 355 Reissue filing fee			
114 150 214 75 Provisional filing fee			
SUBTOTAL (1) 710.00			
2. EXTRA CLAIM FEES			
Total Claims 79 -20** = 59 X 18.00 = 1,062.00			
Independent Claims 10 -3** = 7 X 80.00 = 560.00			
Multiple Dependent			
Large Entity Fee Code 103 Fee (\$ 18) Small Entity Fee Code 203 Fee (\$ 9) Fee Description Claims in excess of 20			
102 80 202 40 Independent claims in excess of 3			
104 270 204 135 Multiple dependent claim, if not paid			
109 80 209 40 ** Reissue independent claims over original patent			
110 18 210 9 ** Reissue claims in excess of 20 and over original patent			
SUBTOTAL (2) 1,622.00			
**or number previously paid, if greater; For Reissues, see above			
		3. ADDITIONAL FEES (continued)	
		Large Entity Fee Code 105 Fee (\$ 130) Small Entity Fee Code 205 Fee (\$ 65) Fee Description Surcharge - late filing fee or oath Fee Paid 130.00	
		127 50 227 25 Surcharge - late provisional filing fee or cover sheet	
		139 130 139 130 Non - English specification	
		147 2,520 147 2,520 For filing a request for ex parte reexamination	
		112 920* 112 920* Requesting publication of SIR prior to Examiner action	
		113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	
		115 110 215 55 Extension for reply within first month	
		116 390 216 195 Extension for reply within second month	
		117 890 217 445 Extension for reply within third month	
		118 1,390 218 695 Extension for reply within fourth month	
		128 1,890 228 945 Extension for reply within fifth month	
		119 310 219 155 Notice of Appeal	
		120 310 220 155 Filing a brief in support of an appeal	
		121 270 221 135 Request for oral hearing	
		138 1,510 138 1,510 Petition to institute a public use proceeding	
		140 110 240 55 Petition to revive - unavoidable	
		141 1,240 241 620 Petition to revive - unintentional	
		142 1,240 242 620 Utility issue fee (or reissue)	
		143 440 243 220 Design issue fee	
		144 600 244 300 Plant issue fee	
		122 130 122 130 Petitions to the Commissioner	
		123 50 123 50 Processing fee under 37 CFR § 1.17(q)	
		126 180 126 180 Submission of Information Disclosure Statement	
		581 40 581 40 Recording each patent assignment per property (times number of properties)	
		146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	
		149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))	
		179 710 279 355 Request for Continued Examination (RCE)	
		169 900 169 900 Request for expedited examination of a design application	
		Other fee (specify)	
		SUBTOTAL (3) 130.00	
		*Reduced by Basic Filing Fee Paid	

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Maitreya P. Jani	Registration No. (Attorney/Agent)	47,219
Signature		Telephone	312/655-1500
		Date	March 2, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

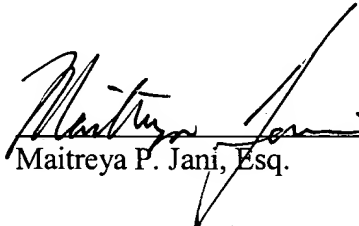
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Commissioner for Patents
Washington, D.C. 20231

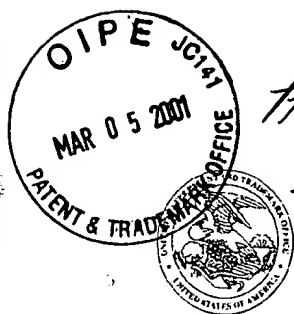
Sir:

I hereby certify that this Certificate of Mailing (1 p.); the attached Fee Transmittal form (1 p.) (in duplicate); Completion of Filing Requirements (2 pp.) (in duplicate); Notice to File Missing Parts of Application – Filing Date Granted (1 p.); executed Declaration and Power of Attorney (4 pp.); one copy of specification filed with U.S. PTO on October 26, 2000 (51 pp.); check no. 4586 in the amount of \$2,462.00 and return postcard are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this date.

March 2, 2001


Maitreya P. Jani, Esq.

Welsh & Katz, Ltd.
120 South Riverside Plaza
22nd Floor
Chicago, Illinois 60606
Phone: 312/655-1500
Fax: 312/655-1501



SECTA

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/697,276	10/26/2000	Manoj Kumar Singhal	7416/78599

24628
WELSH & KATZ, LTD
120 S RIVERSIDE PLAZA
22ND FLOOR
CHICAGO, IL 60606

FORMALITIES LETTER



OC000000005650508

Date Mailed: 01/03/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1622.
 - \$1062 for 59 total claims over 20.
 - \$560 for 7 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2462.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/07/2001 NR0000074 09697276
01 FC:101 710.00
02 FC:102 560.00
03 FC:103 1062.00
04 FC:105 130.00